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3 **Rapid decline of California's native inland fishes: a status**
4 **assessment.**

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1 ABSTRACT

2 A quantitative protocol was developed to determine conservation status of all 129
3 freshwater fishes native to California. Seven (6%) were found to be extinct; 34
4 (26%) were found to be in danger of extinction in the near future; 32 (25%) were
5 rated as sufficiently threatened to be on a trajectory towards extinction if present
6 trends continue; 34 (26%) were rated declining species not in immediate danger.
7 Only 22 (17%) species were found to be relatively secure. Of 31 species officially
8 listed under federal and state endangered species acts, 17 (55%) received the
9 lowest scores under our criteria and 12 (39%) were rated in the next highest tier of
10 scores. Conversely, of 34 extant species that received the lowest scores, only 17
11 (50%) were officially listed as Threatened or Endangered. Climate change, area
12 occupied and anthropogenic threats had the largest negative impacts on status. Of
13 15 categories of anthropogenic causes of decline, those most likely to diminish
14 status were alien species, agriculture and dams. Overall, 83% of California's
15 freshwater fishes are extinct or in some stage of decline, a 16% increase since 1995
16 and a 21% increase since 1989. The rapid decline seen in California's inland fishes
17 is probably typical of declines in regions that are less well documented, indicating a
18 strong need for better methods of conservation of freshwater ecosystems.

19 **Key words:** aquatic conservation, biodiversity, endangered, imperiled,
20 Mediterranean.

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22

1 **1. Introduction**

2 Extinction in freshwater environments is a world-wide crisis (Moyle and Williams
3 1990, Saunders et al. 2002, Dudgeon et al. 2006) which is poorly documented
4 (Strayer and Dudgeon 2010, Vörösmarty et al. 2010). Loss of biodiversity seems to
5 be occurring more rapidly from fresh water than from any other broad habitat
6 (Jenkins 2003, Dudgeon et al. 2006). Driven by recent global assessments of
7 mollusks (Bogan et al. 2008), crabs (Cumberlidge et al. 2009), amphibians (Stuart et
8 al. 2004), and dragonflies (Clausenitzer et al. 2008), the number of freshwater
9 species on International Union for the Conservation of Nature (IUCN) Red Lists has
10 more than tripled since 2003 (Darwall et al. 2008). Nevertheless, the best-studied
11 indicators of the problem remain freshwater fishes (Magurran 2009) which account
12 for about one-third of all described vertebrates, with roughly 13,000 species
13 (Helfman 2007; Lèvéque et al. 2008). In 1992, 20% of the world's freshwater fish
14 fauna was estimated to be extinct or in serious decline (Moyle and Leidy 1992).
15 Less than 20 years later, 37% of the 3,481 freshwater fish species evaluated globally
16 by IUCN were regarded as extinct or imperiled (declining towards, or threatened,
17 with extinction, Vié et al. 2009), although the IUCN database is likely biased towards
18 including declining species. At the continental scale, 46% of 1,187 described
19 freshwater and diadromous fish species native to North America are extinct,
20 imperiled, or have one subspecies or distinct population that is imperiled (Jelks et
21 al. 2008) and about 2.4 species are going extinct per decade (Ricciardi and
22 Rasmussen 1999).

1 While large-scale assessments spotlight the global extent of the crisis, severity
2 and causes are best understood with intensive studies of regional fish faunas
3 because status can be repeatedly, systematically, and quantitatively documented
4 over relatively short time periods. In this paper, we analyze status of California's
5 native freshwater fishes, comprised of 129 taxa which are reasonably well
6 documented, occupy a wide variety of habitats, and exhibit a wide range of life
7 history patterns including anadromy (Moyle 2002, Moyle et al. 2008, Moyle et al.
8 2010a,b). Their status was previously analyzed in 1989 (Moyle and Williams 1990)
9 and 1995 (Moyle et al. 1995). Here, we use a new quantitative protocol to
10 determine conservation status of each species, independent of official agency
11 designations, in order to answer the following questions:

- 12 1. What is the status of California's inland fish fauna?
- 13 2. Are the fishes continuing to decline?
- 14 3. What factors are most strongly associated with declining status?
- 15 4. Do our assessments reflect official status designations?

16

17 *1.1 The inland fishes of California*

18 California's large size (411 000 km²), length (1400 km and 10 degrees latitude) and
19 diverse topography result in diverse habitats, including 50 isolated watersheds in
20 which fish have evolved independently (Moyle 2002, Moyle and Marchetti 2008).
21 For most of the state, the climate is Mediterranean; most precipitation falls in winter
22 and spring, followed by long dry summers. This results in rivers that have high

1 annual and seasonal variability in flows (Mount 1995) and native fishes adapted to
2 hydrologic extremes. Of 129 native inland fishes (defined as those breeding in fresh
3 water) currently recognized, 63% are endemic to the state. An additional 19% are
4 found in one adjacent state (Appendix 1, which includes scientific names of fishes
5 mentioned). Thus California's fishes fall within political and zoogeographic
6 boundaries that largely coincide, important for a bioregional assessment (Moyle
7 2002).

8 Conditions in California have produced an unusual number of anadromous
9 fishes (24%) as well as fishes that thrive in isolated environments such as desert
10 springs, intermittent streams, and alkaline lakes. Most fishes live in rivers of the
11 Central Valley and North Coast, areas having the most water and most diverse
12 aquatic habitats. Recent genetic and taxonomic studies have underscored the
13 distinctiveness of California fishes and increased the number of taxa from 113 in
14 1989 (Moyle and Williams 1990) to 129 in the present study.

15 Most California rivers have been dammed and diverted to move water from
16 places of abundance to places of scarcity, where most Californians live (Hundley
17 2001). Not surprisingly, native fishes have been in steady decline since the mid-19th
18 century, although the first formal evaluation of their status was not conducted until
19 1989. At that time, 6 species (5%) were regarded as extinct, 15 (13%) were
20 formally listed as Threatened or Endangered, and 51 (43%) were designated as
21 Species of Special Concern, indicating they were in decline but not yet threatened
22 with extinction (Moyle and Williams 1990). Although only one extinction was

1 recognized in intervening years, numbers of listed and unlisted but declining species
2 steadily increased so that in 1995, the numbers were 18 (16%) listed and 51 (44%)
3 in decline (Moyle et al. 1995). Today, the numbers are 30 (23%) listed and 70
4 (54%) in decline, meaning that 83% of California's native fishes are now on a
5 trajectory towards extinction or extinct (Moyle et al. 2010) (Fig. 1).

6

7 **2.0 Methods**

8 *2.1. Sources of information*

9 Taxa used were those that qualified as species under the federal Endangered
10 Species Act of 1973, so includes species, subspecies, Evolutionary Significant Units,
11 and Distinct Population Segments recognized by one or more agencies. The biology
12 and status of each species was determined from information in Moyle et al. (1995),
13 Moyle (2002), Moyle et al. (2008) and Moyle et al. (2010 a, b), additional reports
14 and papers from intensive literature searches, and by personal communications
15 with biologists working with each taxon. All species accounts, including evaluations
16 of status, underwent extensive peer-review by species experts. In a few cases,
17 information was updated by field investigations by the authors. The status of each
18 species is as of December 31, 2010.

19

20 *2.2. Quantitative evaluation of status*

21 Species status was determined using seven metrics scored on a 1-5 scale (Table 1)
22 where 1 was a low score indicating major negative impact on status and 5 was a

1 high score, indicating either no or a positive impact on status. Scores were assigned
2 according to a rubric which was standardized to each threat category (Table 2).
3 Metrics were designed to capture risk factors faced by freshwater fishes while
4 keeping redundancy among metrics to a minimum. Principal component analysis
5 revealed relatively equal weighting of all seven metrics on the final status scores
6 (eigenvectors for principal component one: area occupied, 0.322; adult population,
7 0.398; intervention dependence, 0.405; tolerance 0.341; genetic risk 0.406; climate
8 change 0.381; anthropogenic threats 0.382) suggesting the metrics all contributed
9 equally to the status scores overall. For each species, the seven criteria were
10 averaged to produce a single score for which the threat of near-term extinction
11 increased as the score decreased. Fishes with scores between 1.0 and 1.9 were
12 regarded as being in serious danger of extinction, while those scoring 4.0 to 5.0
13 were regarded as reasonably secure. Species with scores between 2.0 and 3.9 either
14 had declining populations or had naturally small isolated populations subject to
15 rapid extinction. Species with scores of 2.0-2.9 were likely to become threatened or
16 endangered in the near future, so qualified as California Species of Special Concern.
17 The scores only apply within California, so rare species with wide distributions and
18 high abundance outside the state (e.g., chum and pink salmon) might receive low
19 scores within the state even if there is no danger of extinction as species.

20

21 *2.2 Metrics used to score taxon status*

1 *Area occupied.* We assumed that extinction threat was lower for species
2 spread over many watersheds than for those with limited distributions. Inland
3 fishes were scored by number and interconnectedness of large watersheds
4 occupied. Anadromous fishes were scored on number of watersheds occupied (i.e.,
5 Functionally Independent Populations, Lindley et al. 2004, 2006).

6 *Estimated adult abundance.* In general, the more individuals in a population,
7 the more likely it is to persist through time. However, quantitative population
8 estimates are rare, especially for non-game fishes (Jelks et al. 2008). We therefore
9 used order-of-magnitude estimates of mature individuals as proxy for population
10 size based on estimates in literature and professional judgement (Table 1).

11 *Dependence on human intervention for persistence.* This metric scored how
12 dependent a species was on direct human intervention for its continued survival.
13 Thus, Eagle Lake rainbow trout received a score of '1' because it is completely
14 dependent on artificial propagation for its persistence, while rough sculpin scored a
15 '4', because it needs only continued protection of its spring-fed streams (managed
16 for trout fisheries) to maintain abundance.

17 *Environmental tolerance under natural conditions.* This metric measures
18 overall physiological tolerance in relation to existing conditions in a species' range.
19 Where possible this was based on results of laboratory or field studies of responses
20 to ranges of temperature, salinity, dissolved oxygen and similar variables. However,
21 if a species had fairly broad physiological tolerances in the laboratory but lived in
22 waters (e.g., streams in southern California) where habitat conditions naturally

1 approached the species limits of tolerance to temperature and other factors, its
2 environmental tolerance was scored lower than that of a species likely to rarely
3 encounter such conditions.

4 *Genetic risks.* This metric incorporates two concepts, hybridization and
5 genetic bottlenecks. Hybridization with a related species, especially an introduced
6 species, can result in sterility, reduced fitness and swamping of native genomes
7 (Perry et al. 2002). Similarly, interbreeding between artificially propagated
8 (hatchery) and wild individuals can reduce fitness of offspring (Araki et al. 2009).

9 In order to avoid over-weighting impact of small population size on status,
10 genetic impacts of small population size were not considered here. However, low
11 genetic variation from hatchery management and/or other past reductions of
12 effective population size may increase extinction threat (e.g., reduce the ability of
13 species to adapt to environmental change) irrespective of current population size
14 and so was considered under this metric.

15 *Vulnerability to climate change.* Climate change is already having effects as
16 reflected in rising water temperatures and more variable stream flow; such effects
17 are only likely to increase (Hayhoe et al. 2004, Anderson et al. 2008, Cayan et al.
18 2009). Vulnerability to future climate change was determined by examining
19 geographic range of each species, its isolation (potential for finding refuges), and
20 types of habitat it inhabits. Species considered to have low vulnerability included
21 those with broad thermal tolerances and those living in aquatic environments
22 shielded (at least for now) from climate-driven change, such as spring-fed systems

1 with constant sources of water (e.g., bigeye marbled sculpin and Saratoga Springs
2 pupfish).

3 *Anthropogenic causes of decline.* We rated fifteen major categories of anthropogenic
4 factors likely to increase extinction risk as having no, low, medium, high or critical
5 effect on species status, based on available information for each species summarized
6 in Moyle (2002), and Moyle et al. (2008, 2011) (Table 3). A cause rated “critical”
7 could push the species to extinction in 3 generations or 10 years which ever is less.
8 A cause rated “high” could push the species to extinction in 10 generations or 11-50
9 years which ever is less. A cause rated “medium” was unlikely to drive a species to
10 extinction by itself but contributed to increased extinction risk over the next
11 century. A cause rated “low” could reduce populations but extinction unlikely as a
12 result. A cause rated “no” (no effect) has no known negative impact to the taxon
13 under consideration.

14 For some species, only a single threat was critical (e.g., hybridization for
15 California golden trout), but for most species, number as well as severity of potential
16 causes contributed to our final score (Table 2). We judged any species with even
17 one critical rating as being in danger of extinction in the near future. The 15 causes
18 of decline are summarized below.

19

20 *2.3. Anthropogenic causes of decline*

21 *Large dams.* Dams and their reservoirs had high impacts on status if they
22 blocked a considerable amount of range, caused major changes to physical habitat,

1 or changed water quality and quantity. We regarded dams as having a low impact if
2 they were present within the range of the species but their effects were beneficial,
3 small, or poorly known.

4 *Agriculture.* Effects of agriculture were rated high if agricultural effluent
5 polluted waterways of major importance to the species, if diversions severely
6 reduced flow, if large amounts of silt flowed into streams from farmland, if
7 pesticides had significant effects, and if other agricultural factors directly affected
8 waters in which a species lives. We regarded agriculture as having a low impact if it
9 was not pervasive in the species range or was not known to be causing significant
10 changes to a species' habitats.

11 *Grazing.* We separated livestock grazing from other agriculture because its
12 effects are widespread on range and forest lands throughout California. Impacts
13 were rated high where stream banks were trampled and riparian vegetation was
14 removed, resulting in incised streams, drying of adjacent wetlands, and lowering of
15 water tables. Removal of vegetation can also result in increased siltation, higher
16 water temperatures, and decreased summer flows. Impacts were rated low where
17 grazing had minimal effects, as described above.

18 *Rural residential.* As California's human population grows, people spread
19 across the landscape, often settling in diffuse patterns along or near streams. Rural
20 development results in water removal, streambed alteration (to protect houses,
21 create swimming holes, construct road crossings, etc.), and pollution (especially
22 from septic systems). We rated such housing as having high effect on fishes where it

1 was abundant and unregulated and caused major changes to streams. Where such
2 housing was present but scattered, the effects were usually rated as low.

3 *Urbanization.* Streams that flow mostly through urban areas are generally
4 highly altered to reduce flooding and remove water, while pollution is pervasive,
5 from sewage, runoff, and storm drain discharges. Generally, the more a city
6 encompassed the key waters of a species, the higher we rated effects of urbanization
7 on the species.

8 *Instream mining.* The most severe instream mining in California took place
9 during the 19th and early 20th centuries when miners buried (through hydraulic
10 mining), excavated, and dredged riverbeds for gold. We often gave the legacy effects
11 on fishes of mining medium or high ratings. Similar scores were given to species
12 affected by legacy effects of instream gravel mining, which creates large pits in
13 streambeds and alters stream banks. Such mining is largely banned (in favor of
14 mining off-channel areas) today. Impacts of contemporary recreational and
15 professional suction dredge mining resulted in some intermediate ratings.

16 *Mining.* The effects of mining on a species was rated according to how much
17 of a species habitat occurred where tailings of hard rock mines were abundant,
18 along with acidic mine drainage, mostly from abandoned mines. We also included
19 legacy effects of mercury mining, used to process gold in placer mining. We gave
20 high ratings where major mines, even if abandoned, had toxic tailings poised on
21 edges of waterways (e.g., Iron Mountain Mine near Redding). Our low threat scores

1 usually came from situations where old mines are present but effects on biota of
2 nearby streams were not evident.

3 *Transportation.* Our ratings here were based on how much a species
4 depended on streams altered by roads and how severe the alterations were, from a
5 combination of factors. Thus, many rivers and creeks have roads and railroads
6 running along one or both sides, confining stream channels and causing pollution
7 from siltation, vehicle emissions, waste disposal, and accidents. In addition, culverts
8 and other road modifications often restrict fish movements.

9 *Logging.* Timber harvest is a major use of forested watersheds which
10 support high abundances of fish, including anadromous salmonids. Logging was
11 relatively unregulated until mid-20th century, resulting in major degradation of
12 streams. Legacy effects include incised streams with silt-bottomed reaches and less
13 large wood to provide structure. Although better regulated today, logging is still a
14 pervasive activity resulting in siltation of streams, reduced complexity of habitat,
15 and other alterations. We gave high threat ratings to species dependent on streams
16 degraded by either legacy or contemporary effects of logging. Low threat ratings
17 were given where such effects are of small significance.

18 *Fire.* Wildfires are part of California's natural landscape but human activities
19 have increased their intensity and frequency. A fish species that we rated as likely
20 to decline from fire effects is one whose range can be affected by catastrophic
21 wildfire, through increased erosion, increased temperature, and spilled fire-fighting
22 chemicals and other materials. We assigned low ratings to fishes that live in areas

1 where fires occur but for various reasons, such as low fuel load, have minimal
2 impact on streams.

3 *Estuary alteration.* Many California fishes depend on estuaries for at least
4 part of their life cycle. All California estuaries are highly altered by human activity,
5 including siltation, pollution, diking and draining, and removal of sandbars between
6 the estuary and ocean. Thus, the more estuarine-dependent a fish species is, the
7 more likely we were to assign a high rating to estuary alteration as a cause of
8 decline.

9 *Recreation.* Recreational use of streams has greatly increased with the
10 human population. We found recreational effects usually to be low, although they
11 were often concentrated when stream flows were low. We rated recreation effects
12 as high when a taxon depended on streams that are heavily disturbed (e.g., by off-
13 road vehicles) or contains enough boaters and swimmers to disturb spawning or
14 holding (e.g., salmon and steelhead).

15 *Harvest.* We rated legal and illegal harvest effects as high for fishes known to
16 be subject to overharvest, especially large species (e.g., sturgeons) or species that
17 become isolated and therefore easy to catch (e.g., summer steelhead). For most
18 native resident fishes, legal harvest was rarely an issue, so scores were low.

19 *Hatcheries.* Most fishes are not supported by fish hatcheries but for those
20 that are, hatchery fish often have negative effects on wild populations through
21 competition for space and food, direct predation, and loss of genetic diversity
22 (Moyle 2002). We rated severity of these effects based in part on hatchery

1 dependence and/or known interbreeding between wild and hatchery populations.

2 We regarded conservation hatcheries that focus on rare species as having low

3 impacts because of their efforts to reduce hatchery effects as much as possible.

4 *Alien species.* Non-native species are present in every California watershed

5 and their impacts on native species through hybridization, predation, competition,

6 and disease are often severe (Moyle and Marchetti 2008). We rated this category as

7 high for a species if there were major direct or indirect impacts of alien invaders.

8 We rated them low if contact with aliens was infrequent or not known to be

9 negative.

10

11 *2.4 Certainty index*

12 Because quality, amount and reliability of information varied among species, we

13 developed a certainty index for our scores, on a 1-4 scale, where we scored status

14 evaluations as follows:

15 1. Based on expert opinion (including our own) with little hard data.

16 2. Based on expert opinion supplemented with limited data and reports.

17 3. Based on extensive reports found mainly in the gray literature

18 4. Based on reports from multiple sources including peer-reviewed literature.

19 This index is mainly used to let managers know risks involved in making

20 management decisions based on our results.

21

22 **3. Results**

23

1 Of 129 freshwater fishes native to California, seven (6%) are extinct with scores of
2 zero. Another 34 (26%) are in danger of extinction in the near future if present
3 trends continue (scores of 1.0-1.9) while 32 (25%) are sufficiently threatened by a
4 variety of factors to be on a trajectory towards extinction if present trends continue
5 (scores of 2.0-2.9). 34 (26%) are in long-term decline or have small isolated
6 populations but do not face extinction in the foreseeable future, unless conditions
7 change (scores of 3.0-3.9). The remaining 22 species (17%) are relatively secure
8 (4.0-5.0) (Figure 1). Species with scores of <3.9 are California Species of Special
9 Concern if they are not formally listed. The average status score of all extant taxa
10 was 2.7. The certainty ratings (1-4) of our status evaluations averaged 2.7 out of 4.0
11 (SD 1.2), with 66% of accounts based on extensive literature (4.0) and only 5%
12 based mainly on professional judgment (1.0).

13 Of 31 species currently listed as Endangered or Threatened under federal
14 and/or state endangered species acts, 17 had status scores of 1.0-1.9 and 12 had
15 scores of 2.0-2.9 (94% total) by our rating system. Listed species made up half the
16 34 species to which we gave status scores of 1.0-1.9 and 44% of extant species with
17 scores <2.9. The number of listed species increased from 14 in 1989 to 18 in 1990
18 to 31 in 2010, a listing rate of about 0.8 species per year (Figure 2). The number of
19 imperiled and declining species increased from 55 to 100 in this same period (2.1
20 species per year) (Figure 2). While the increase was partly the result of 14 new taxa
21 being added to the fauna, most in decline, most of the increase reflects declines in
22 species status. Previous status determinations (Moyle and Williams 1990, Moyle et

1 al. 1995) were made without benefit of our systematic approach and were
2 constrained by prior agency designations. However, given the senior author was in
3 charge of all three assessments, there is enough consistency among them to make
4 the trends real.

5 In this status review, the metrics contributing most often to overall status
6 scores of 1.0- 2.9 were climate change (62% of species with such scores),
7 anthropogenic threats (56%) and area occupied (55%). In contrast, fishes with
8 scores of 4.0 and above had large populations, wide distributions, and high
9 tolerance of environmental change. The anthropogenic threats that led to the most
10 species with “critical” or “high” ratings were alien species (34%), dams (24%) and
11 agriculture (18%) (Appendix Table 2). Twenty-five species (19%) had at least one
12 “critical” rating, indicating high likelihood of extinction in the near future, while 63
13 species (49%) received at least one “high” rating. The largest number of “high”
14 ratings awarded to a single species was six. All species had different combinations of
15 causes of decline by kind and severity.

16 17 **4. Discussion**

18 19 *4.1. What is the status of California’s freshwater fish fauna?*

20 In 1989, only 14 species were formally listed as Threatened or Endangered (Moyle
21 and Williams 1990). Today, 31 species are formally listed and about one additional
22 species is being listed every two years. In addition, seven species have gone extinct
23 in the past 50 years. Clearly, the native fish fauna of California is in serious decline
24 by official standards. However, our analysis indicates that the decline is more

1 severe than recognized, with 107 (83%) of the native fishes somewhere on the
2 pathway to extinction. Assuming all species that scored 2.9 or less are species most
3 likely to go extinct in the next century, then 66 (54%) of 122 extant fishes are on an
4 extinction trajectory, with another 34 (25%) in decline or otherwise without a
5 secure future. We regard all these fishes as 'imperiled' for purposes of discussion.
6 The basic cause of decline is a growing human population that enjoys living in a mild
7 Mediterranean climate where water is in short supply, especially in the dry summer
8 season or during periods of drought. This shortage results in most waterways being
9 dammed, diverted, polluted, or otherwise altered, with the additional threat of
10 frequent invasions of alien fishes (Moyle 2002, Moyle and Marchetti 2006). The
11 highly endemic fishes of the region are especially vulnerable to change because so
12 many are confined to limited geographic areas or to habitats where conditions are
13 naturally stressful. However, even many wide-ranging species (e.g., all salmon
14 species and steelhead rainbow trout) are imperiled (Moyle 2002, Moyle et al. 2008).
15 Native species that have managed to thrive under altered conditions are those that
16 have naturally large ranges, broad habitat requirements, high tolerance of adverse
17 conditions, and an ability to become part of new fish assemblages that include alien
18 species (e.g., Tahoe sucker, Sacramento pikeminnow).

19

20 *4.2. Are the fishes continuing to decline?*

21 Today, 83% of California's freshwater fishes are imperiled or extinct, a 16%
22 increase since the last assessment in 1995 and a 21% increase since 1989. The

1 increase is partly the result of improved information, but declines of most species
2 are also real, as illustrated below by coho salmon, Central Valley fall Chinook
3 salmon, delta smelt, Clear Lake hitch, and Sacramento perch.

4 *Coho salmon* are native to hundreds of coastal streams from Monterey Bay
5 north to the Oregon border and once supported sport and commercial fisheries
6 (Moyle 2002). In the 1940s, estimated numbers of adults spawning in California
7 streams were 200 000 to 400 000 (Moyle et al. 2008). They were regarded by
8 Moyle and Williams (1990) as being in sharp decline but still common. Subsequent
9 studies documented their rapid disappearance from their native streams
10 throughout the state and by 1996 the two ESUs of coho salmon present in California
11 had been listed as federal Threatened or Endangered species. Our analysis scored
12 status of the Central Coast ESU as 1.1 and the Southern Oregon Northern California
13 Coast ESU as 1.7. The 2010 federal ESA recovery plan for California coho salmon is
14 consequently regarded as more an extinction prevention plan than a real plan for
15 recovery (NMFS 2010) .

16 *Central Valley fall Chinook salmon* is an ESU that historically made up the
17 largest run of salmon in the Sacramento and San Joaquin River basins, with runs
18 once estimated to be around a million fish annually; recent adult populations have
19 been 200,000 to 400,000 fish. Moyle and Williams (1990) considered it to be
20 abundant and perhaps even increasing in abundance. However, its status score here
21 is 2.0, because of a recent precipitous population crash (Moyle et al. 2008) which is

1 apparently the indirect result of the ESU being almost entirely fish of hatchery origin
2 (Barnett-Johnson et al. 2007).

3 *Delta smelt* are endemic to the San Francisco Estuary and require fresh water
4 for spawning (Moyle 2002). In the 1970s, they were still one of the most abundant
5 fish in the upper estuary but declined rapidly so that Moyle and Williams (1990)
6 indicated they merited listing as a threatened species. They were listed as
7 Threatened by both state and federal governments in 1993. Nevertheless, their
8 decline has continued as the result of major environmental changes to the upper
9 estuary related to increasing water exports and other factors (Bennett 2005),
10 despite major efforts to curtail mortalities in recent years. With a one-year life
11 cycle, they may be on verge of extinction and accordingly were given a score of 1.4.

12 *Clear Lake hitch* is a minnow species endemic to Clear Lake, a large natural
13 lake in the Coast Range of California (Moyle 2002). Although the lake has been
14 highly altered for human use and has been heavily invaded by alien species, hitch
15 are one of the few native species that have persisted; Moyle and Williams (1990)
16 found them to be abundant but possibly declining. However, dramatic reduction in
17 numbers of individuals in spawning streams, presumably related to the expanding
18 population of piscivorous Florida largemouth bass (*Micropterus floridae*) in the lake
19 as well as continued environmental degradation, resulted in a status score of 1.9.

20 *Sacramento perch* were once one of the most abundant fish in the Central
21 Valley and subject to commercial fisheries in the 19th century (Moyle 2002). Today
22 they are extirpated from their native range largely from competition and predation

1 by introduced centrarchids (Crain and Moyle 2010). They have persisted only
2 because they have been introduced into scattered reservoirs and lakes in other
3 parts of California and the western USA. However, many introduced populations are
4 now gone and others are located in waters that are not secure (Crain and Moyle
5 2010). Moyle and Williams (1990) indicated concern about its decline but thought
6 it did not merit listing as a threatened species. Because so many populations have
7 disappeared or declined since then, it scored 1.6 for status.

8

9 *4.3. What factors are most strongly associated with conservation status?*

10 The fundamental causes of the declines have their roots in the 19th and early 20th
11 centuries when unrestricted mining, logging, and wetland conversion, combined
12 with wide-scale dam building, severely altered most rivers, lakes, and estuaries. In
13 addition, 50 species of alien fishes were successfully introduced, many of them
14 better suited to altered environments than native species (Moyle and Marchetti
15 2006). Nevertheless, each native species has its own idiosyncratic response to this
16 changing environment, as a result of its natural characteristics interacting with
17 changes occurring in its particular habitats. Our analyses showed that each
18 imperiled species has its own combination of causes of decline but most common
19 were factors reflecting large-scale landscape changes (dams, agriculture, logging,
20 urbanization, Appendix Table 2). An issue common to all species is climate change,
21 which was often important factor affecting our final status score for each species.
22 Increases in water temperatures and variability in stream flows are becoming an

1 increasingly important limiting factor for most species, but especially those relying
2 on streams with perennial flows of cool (<20-22°C) water. Thus a systematic
3 conservation approach has to deal both with broad issues and those particular to
4 each species.

5

6 *4.4. Do our assessments reflect official status designations?*

7 Most (94%) listed species scored in our two lowest status categories, indicating that
8 our scoring system made reasonable determinations. However, only half of 34
9 species scoring below 2.0 are presently listed, as are just 35% of those scoring 2.0-
10 2.9. Two listed species scored >2.9, northern California winter steelhead (3.3) and
11 rough sculpin (3.4). The steelhead is clearly in decline but is still fairly widely
12 distributed; it is also closely associated with coho salmon and other listed species.
13 The rough sculpin was one of the first fishes listed under state law, when little was
14 known about its distribution and biology. Subsequent studies have indicated it is
15 fairly widespread in spring streams of the Pit River watershed and is even
16 expanding its range in reservoirs (Moyle 2002). However, recent genetic studies
17 suggest rough sculpin is actually two disjunct populations (A. Kinziger, pers. comm.
18 2010), perhaps species, which might qualify for listing if treated independently.

19 An important aspect of our evaluation system is rating of information quality
20 (certainty) on which each determination is based, to enable managers to determine
21 which species need more study. Most of our species status determinations are

1 based on strong published evidence. However, species with both low status scores
2 and low certainty scores should be re-evaluated for status frequently.

3

4 **5. Conclusions.**

5 The native inland fish fauna of California is in rapid decline and many species are
6 likely to disappear from the state with in the next century if present trends
7 continue. Unfortunately, global climate change is likely to increase fish extinction
8 rates as competition with humans for increasingly scarce water intensifies, stream
9 flows become more variable, and water quality, especially temperature, changes.

10 For coldwater fishes, thermal refuges may disappear from streams in many areas,
11 leaving no place to escape unfavorable conditions. The patterns of decline we see in
12 California have been documented in freshwater fishes in other arid climates (Moyle
13 and Leidy 1992, Aparicio et al. 2000, Maceda-Veiga et al. 2010). However, the
14 decline of California's inland fishes is likely characteristic of freshwater fishes and
15 their ecosystems worldwide. As better information and similar systematic
16 approaches are employed in other regions, we predict more imminent extinctions
17 will be detected than are presently appreciated. Given trends of rapid decline that
18 we have documented, however, it is likely that many species will be lost before
19 effective conservation plans can be implemented. There is therefore no time to be
20 lost in designing and implementing conservation plans for freshwater biota of
21 California and worldwide.

22

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1
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6 and Steve Parmenter. This analysis would not have been possible without
7 information and review provided willingly by dozens of biologists statewide,
8 making this a true community effort.

9

10 **Supplementary Material**

11
12 List of all freshwater fishes native to California and their conservation status
13 (Appendix A) and percentage of extant fishes affected at different levels by 15
14 categories of anthropogenic factors that threaten fishes (Appendix B) are available
15 as part of the online version.

16

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1

2

3 FIGURES

4

5

1 **TABLES**

2

Metric	Score	Justification
Area occupied	2	Two distinct populations in San Francisco Estuary, using different rivers for spawning.
Estimated adult abundance	4	Large in upper estuary, likely small in lower
Intervention dependence	3	Floodplain areas need special management for spawning during droughts.
Tolerance	5	One of the most physiologically tolerant native fishes
Genetic risk	3	Two populations, genetically fairly diverse
Climate change	1	Extremely vulnerable to droughts and sea level rise reducing habitat
Anthropogenic causes of decline	2	Multiple, see Table 3
Average	2.9	20/7
Certainty (1-4)	3	Mostly well studied

3 **Table 1.** Metrics for determining the status of California fishes, with Sacramento splittail
 4 as example. Each metric is scored on a 1-5 scale where 1 is a major negative factor
 5 contributing to status, 5 is a factor with no or positive effects on status, and 2-4 are
 6 intermediate values. Scoring is described in Table 2. A more detailed basis of scores for
 7 splittail is found in Table 3 and Moyle et al. (2010).

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1A. Area occupied: resident fish

1. One watershed/stream system in California only, based on watershed designations in Moyle and Marchetti (2006)
2. 2-3 watersheds/stream systems without fluvial connections to each other
3. 3-5 watersheds/stream systems with or without fluvial connections
4. 6-10 watersheds/stream systems
5. More than 10 watersheds/stream systems

1B. Area occupied: anadromous fish

1. 0-1 apparent self-sustaining populations today
2. 2-4 apparent self-sustaining populations today
3. 5-7 apparent self-sustaining populations today
4. 8-10 apparent self-sustaining populations today
5. More than 10 apparent self-sustaining populations today

2. Estimated adult abundance

1. ≤ 500
2. 501-5000
3. 5001-50,000
4. 50,001-500,000
5. 500,000 +

3. Dependence on human intervention for persistence

1. Captive broodstock program or similar extreme measures required to prevent extinction
2. Continuous active management of habitats (e.g., water addition to streams, establishment of refuge populations, or similar measures) required
3. Frequent (usually annual) management actions needed (e.g., management of barriers, special flows, removal of alien species)
4. Long-term habitat protection or improvements (e.g., habitat restoration) needed but no immediate threats need to be dealt with
5. Species has self-sustaining populations that require minimal intervention

4. Environmental tolerance under natural conditions

1. Extremely narrow physiological tolerance in all habitats
2. Narrow physiological tolerance to conditions in all existing habitats or broad physiological limits but species may exist at extreme edge of tolerances
3. Moderate physiological tolerance in all existing habitats
4. Broad physiological tolerance under most conditions likely to be encountered
5. Physiological tolerance rarely an issue for persistence

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5. Genetic risks/problems

1. Genetic viability reduced by fragmentation, genetic drift, and isolation by distance, owing to very low levels of migration, and/or frequent hybridization with related fish
2. As above, but limited gene flow among populations, although hybridization can be a threat
3. Moderately diverse genetically, some gene flow among populations; hybridization risks low but present
4. Genetically diverse but limited gene flow to other populations, often due to recent reductions in connectivity
5. Genetically diverse with gene flow to other populations (good metapopulation structure)

6. Vulnerability to climate change

1. Vulnerable to extinction in all watersheds inhabited
2. Vulnerable in most watersheds inhabited (possible refuges present)
3. Vulnerable in portions of watersheds inhabited (e.g., headwaters, lowermost reaches of coastal streams)
4. Low vulnerability due to location, cold water sources and/or active management
5. Not vulnerable, most habitats will remain within tolerance ranges

7. Anthropogenic causes of decline

1. One or more causes rated *critical* or 3 or more threats rated *high*—indicating species could be pushed to extinction by one or more threats in the immediate future (within 10-25 years)
2. 1 or 2 causes rated *high*; species could be pushed to extinction in the foreseeable future (within 50 years)
3. No causes rated *high* but 5 or more threats rated *medium*; no single threat likely to cause extinction but all threats in aggregate could push species to extinction in the next century
4. 1-4 causes rated *medium*; no immediate extinction risk but taken in aggregate causes reduce population viability
5. 1 *medium* all others *low*; known causes do not imperil the species

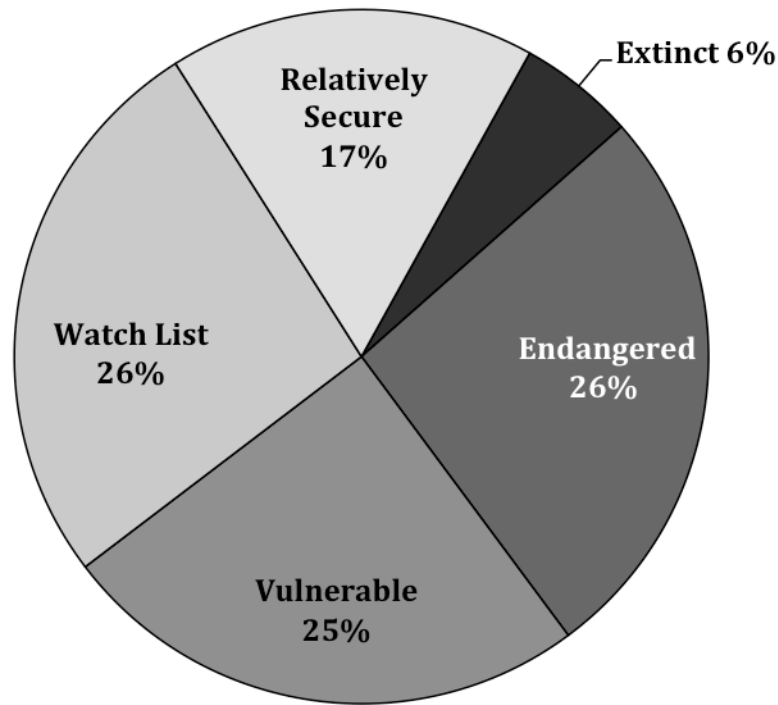
Table 2. Scoring rubric for seven metrics used to evaluate status of native freshwater fishes of California. Final status score is the average score of all seven metrics.

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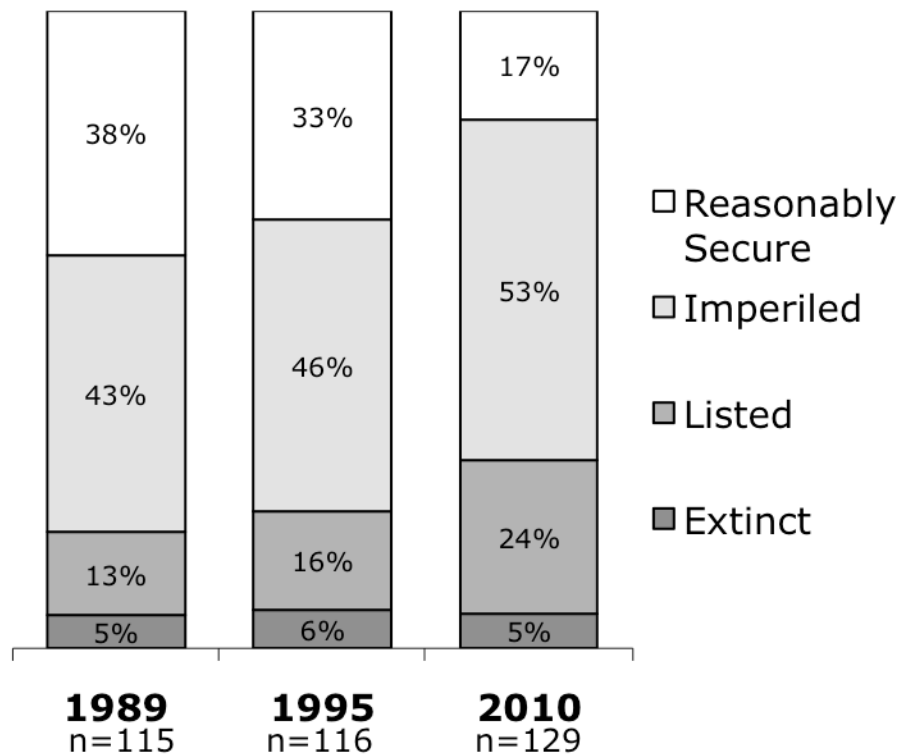
Status metric	Rating	Explanation
Major dams	Medium	All waters have flows regulated by dams and diversions
Agriculture	Medium	Pollution, channel modification, entrainment in major diversions
Grazing	Low	Little known impact
Rural residential	Low	Residences on the edges of rearing marshes
Urbanization	Medium	Most habitat is on urban fringes; sewage; water diversion and entrainment
Instream mining	Low	Some gravel mining in floodplain areas
Mining	Low	Legacy effects of gold mining, e.g. mercury
Transportation	Medium	Migratory corridors lined with roads and railroads,
Logging	No	No known impact
Fire	Low	Indirect impacts from marsh/floodplain fires possible
Estuarine alteration	High	Major habitat areas highly altered
Recreation	Low	Recreational boating etc. may affect habitat
Harvest	Medium	Some harvest for bait and of migrating adults for food
Hatcheries	No	No known impact
Alien species	Medium	Effects of new invaders unpredictable; predation and competition possible

2 **Table 3.** Ratings of major anthropogenic factors causing declines of freshwater fishes of
 3 California, using Sacramento splittail as an example. See text for definitions of ratings of
 4 causes.

5



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2 Figure 1. Status of fishes (N = 129) native to inland waters of California in 2010,
3 where extinct = globally extinct or extirpated in the inland waters of California;
4 endangered = highly vulnerable to extinction in its native range, approximately
5 equivalent to IUCN threat level of *endangered* or *critically endangered*; vulnerable=
6 could easily become threatened or endangered if current trends continue,
7 approximately equivalent to IUCN threat level of *vulnerable*; watch list = populations
8 in decline or highly fragmented, approximately equivalent to IUCN threat level of
9 *near threatened*; Reasonably secure = no extinction threat for California populations,
10 approximately equivalent to IUCN threat level of *Least Concern*.
11



1
2 Figure 2. Status of the native fishes from three surveys over 21 years, as shown by
3 percentages of known species in different conservation categories. Listed species
4 are those listed under the state and federal endangered species acts as either
5 Threatened or Endangered. Imperiled species are those in decline or in small
6 isolated populations that are likely to be eligible for listing in the near future
7 (California Species of Special Concern) For 2010, the imperiled status
8 determinations are unofficial, so actual status numbers may not necessarily be the
9 same as the ones in this paper.